

CYBER RISK ASSURANCE & RESILIENCE

AI Vendor Risk Assessment

*Your organization may already have AI risk
through third-party platforms.*

An evidence-based review of which vendors use AI, what
they do with your data, what your contracts actually
permit, and which relationships need escalation.

01 — SERVICE OVERVIEW

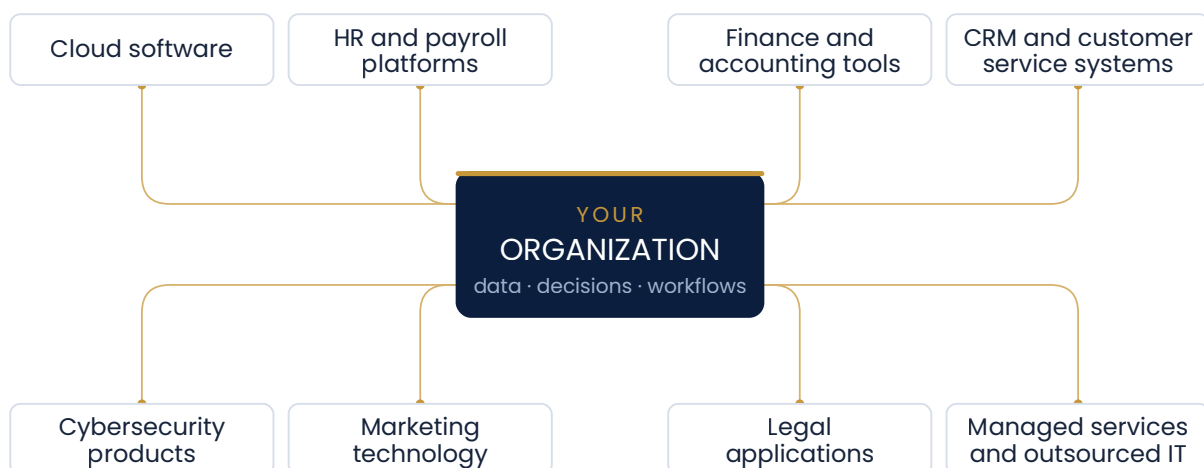
You may not have deployed AI. Your vendors have.

Many organizations believe they are not exposed to AI risk because they have not formally deployed their own AI systems.

In reality, AI may already be embedded in software, cloud systems, HR platforms, finance tools, CRM systems, cybersecurity products, marketing technology, legal applications, and managed service arrangements.

Dawgen Global's **AI Vendor Risk Assessment** helps organizations identify, evaluate, and control AI risks arising from third-party vendors and technology platforms.

WHERE AI SITS IN YOUR VENDOR STACK



NONE OF THESE ARRIVED THROUGH AN AI PROCUREMENT DECISION — WHICH IS PRECISELY WHY THE EXPOSURE IS UNMAPPED

TYPICAL DURATION

2 to 4 weeks

DELIVERY MODEL

**Digital review,
documents &
interviews**

OUTPUT

**Vendor AI risk report
& remediation plan**

02 — WHY THIS MATTERS

Seven questions about your vendors

Third-party AI exposure is not a technology question. It is a question about what your organization has permitted, in writing, and whether anyone has checked.

01

Which vendors are using AI?

02

What data do they process?

03

Is your data used to train AI models?

04

Can AI outputs influence decisions or workflows?

05

Are vendor contracts adequate?

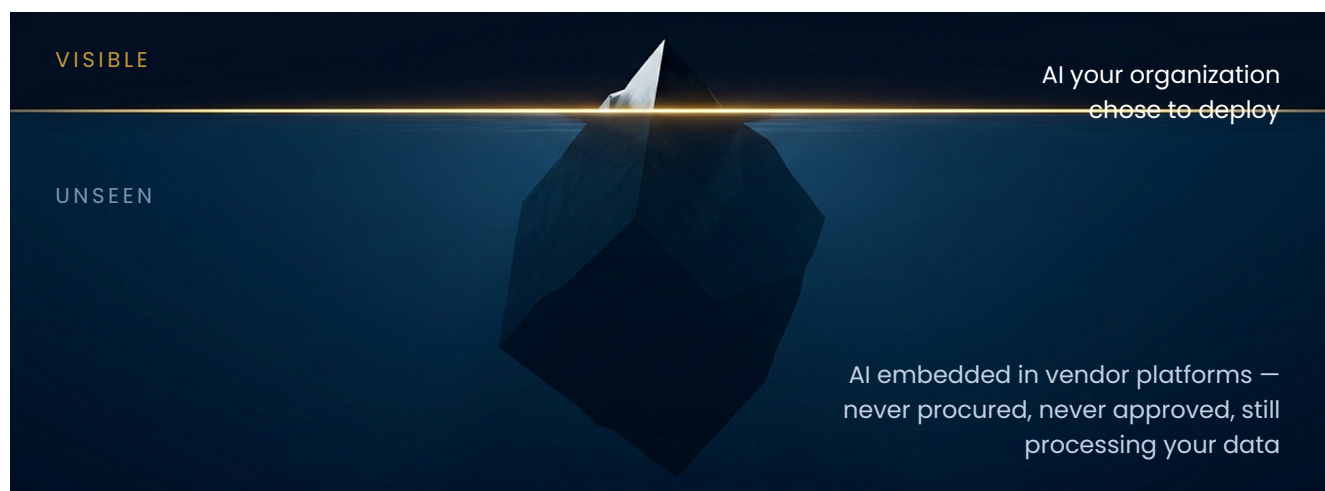
06

Are audit rights included?

07

Can AI functionality be disabled if needed?

THE EXPOSURE BELOW THE WATERLINE



03 — OUR ASSESSMENT COVERS

Seven review areas, one vendor view

	AI-Enabled Vendor Inventory	Identify vendors, systems, platforms, and service providers that use or embed AI capabilities.
	Vendor AI Risk Classification	Classify vendors based on data sensitivity, business criticality, regulatory exposure, decision impact, cybersecurity risk, and operational dependency.
	Data Use and Model Training Review	Assess whether vendors access, process, retain, or use organizational data for AI model training, fine-tuning, improvement, or analytics.
	Cybersecurity and Integration Review	Evaluate AI-related cyber risks, including APIs, connectors, access rights, cloud integrations, data transmission, logging, and incident response.
	Contract and Audit Rights Review	Review whether vendor agreements address confidentiality, data usage, AI model training, incident reporting, subcontractors, audit rights, model changes, liability, and termination rights.
	Vendor Assurance Review	Assess available vendor assurance reports, security certifications, privacy documentation, AI governance statements, and control evidence.
	Remediation Roadmap	Provide practical recommendations to reduce vendor AI exposure and strengthen third-party governance.

The question is never whether a vendor says it is responsible with your data. It is whether the agreement says so, and whether you may verify it.

ANCHORED IN RECOGNIZED FRAMEWORKS

NIST CSF 2.0

Including the supply chain risk management expectations under Govern — extended to AI-specific vendor exposure.

IIA Cybersecurity Topical Requirement

Third-party governance and control process expectations internal audit functions are now measured against.

Your own contract set

Findings map to the agreements you have actually signed, and to your procurement and renewal calendar.

04 — KEY DELIVERABLES

A vendor position you can evidence

- 01

AI-enabled vendor inventory
- 02

Vendor AI risk rating
- 03

Data and model training risk analysis
- 04

Contract gap summary
- 05

Cybersecurity and integration risk review
- 06

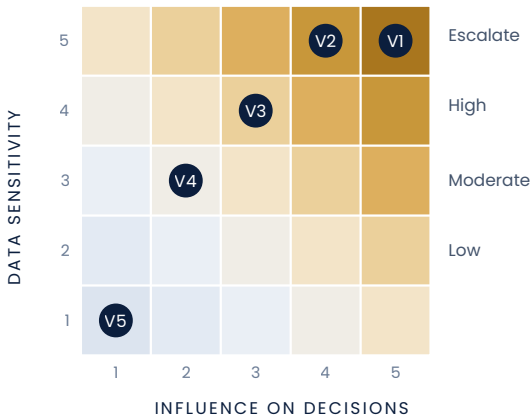
High-risk vendor escalation list
- 07

Recommended AI vendor due diligence checklist

The due diligence checklist stays with you — every future vendor is screened before signature rather than reviewed after exposure.

Vendor AI risk matrix

ILLUSTRATIVE OUTPUT



V1 Core platform with embedded AI · V2 Customer service automation · V3 HR and payroll platform · V4 Marketing technology · V5 Internal productivity tool

Contract gap summary

ILLUSTRATIVE OUTPUT

Confidentiality	ADDRESSED
Permitted data use	PARTIAL
AI model training	ABSENT
Incident reporting	PARTIAL
Subcontractors	ABSENT
Audit rights	ABSENT
Model change notice	ABSENT
Liability and exit	ADDRESSED

Each agreement is tested against the clauses that became material after signature. Gaps become renewal instructions, not observations.

High-risk vendor escalation list

ILLUSTRATIVE EXTRACT

RATING	EXPOSURE	ACTION	BY
ESCALATE	Regulated data processed; training rights not excluded	Contract variation	30 days
HIGH	AI output influences customer decisions; no audit right	Assurance request	60 days
MODERATE	AI feature enabled by default; disablement untested	Configuration review	90 days

05 — WHO SHOULD CONSIDER THIS SERVICE

If you buy software, you have third-party AI exposure



Organizations using cloud software



Payroll and HR systems users



Finance and accounting platform users



CRM and customer service operations



Cybersecurity and marketing technology users



Managed service and outsourced IT arrangements

06 — BENEFITS TO YOUR ORGANIZATION

From unknown exposure to governed vendors

- ✓ Identify hidden AI exposure
- ✓ Strengthen vendor governance
- ✓ Protect confidential and personal data
- ✓ Improve contract protections
- ✓ Reduce cyber and compliance risk
- ✓ Support procurement discipline

COMMON TRIGGERS FOR THIS ASSESSMENT

A platform you rely on has announced new AI features.

A renewal is approaching and no one has read the data clauses.

A regulator, insurer or client has asked about third-party AI.

Nobody can produce a list of which vendors use AI.

07 — ENGAGEMENT FORMAT

A short, disciplined engagement with a defined end point

Typical duration: 2 to 4 weeks | **Delivery model:** Digital review, vendor documentation review, interviews, and management briefing | **Output:** Vendor AI risk report and remediation plan



The assessment works from the vendor list, the contracts and the documentation you already hold. It ends with a list of relationships that need attention — and what to do about each one.

08 — WHY DAWGEN GLOBAL

Independent. Integrated. Caribbean.

An independent firm

Dawgen Global is an independent, integrated multidisciplinary professional services firm — not affiliated with any international network. Our judgement is our own.

No reseller relationships

We do not resell the platforms we assess. That independence is what makes the vendor findings usable as evidence.

Contract and control in one view

Legal, cybersecurity, IT and risk disciplines read the same vendor file — so the contract gap and the technical gap reconcile.

Regional reach and context

Headquartered in New Kingston, Jamaica and operating across 15+ Caribbean territories, with an understanding of local regulatory and board expectations.

CALL TO ACTION

Begin the conversation

Do you know which vendors are using AI to process your data or influence your workflows? Contact Dawgen Global today to request an AI Vendor Risk Assessment.

REQUEST THE ASSESSMENT

EMAIL

info@dawgen.global

WHATSAPP GLOBAL

+1 555-795-9071

ONLINE

dawgen.global/contact-us

HEAD OFFICE

47 Trinidad Terrace
New Kingston, Jamaica

JAMAICA

876-929-3670
876-665-5926

UNITED STATES

855-354-2447

Know the vendor. Read the clause. Govern the exposure.

ONE FIRM. MANY DISCIPLINES.

Audit & Assurance · Tax Advisory · IT & Digital Transformation · Risk Management · Cybersecurity · HR Advisory
Mergers & Acquisitions · Corporate Recovery · Business Advisory · Accounting BPO & Virtual CFO · Legal Process
Outsourcing



Dawgen Global is an independent, integrated multidisciplinary professional services firm serving clients across 15+ Caribbean territories. Big Firm Capabilities. Caribbean Understanding.

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